

# Construction of Counter-models for the Modal Logic K4B \*

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## Abstract

The modal logic **K4B** is the smallest modal logic obtained by adding the axioms **4** :  $\Box A \supset \Box \Box A$  and **B** :  $A \supset \Box \Diamond A$  to the modal logic **K**, where  $\Diamond$  is an abbreviation of  $\neg \Box \neg$ . We are going to give a constructive way to obtain a counter-model for **K4B** if a given formula is not provable in our sequent system for **K4B**.

## 1 Sequent system for K4B

We first introduce our sequent system **SK4B** for the modal logic **K4B**, which was inspired by the sequent system proposed by Takano in [2]. Let small letters  $p, q$  etc. be propositional variables. Formulas are defined in the usual way with logical connectives  $\wedge, \vee, \supset, \neg$  and  $\Box$ . Capital letters  $A, B$  etc. denote arbitrary formulas. Greek capital letters  $\Gamma, \Delta$  etc. denote (finite, possibly empty) sets of formulas. Subscripts will be used if necessary. A sequent of **SK4B** is an expression of the form  $\Gamma \rightarrow \Delta$ . Initial sequents of **SK4B** are of the form  $\Gamma, p \rightarrow p, \Delta$ . Rules of **SK4B** are given in Figure 1.

$$\begin{array}{c}
 \frac{\Gamma, A, B \rightarrow \Delta}{\Gamma, A \wedge B \rightarrow \Delta} (\wedge \rightarrow) \quad \frac{\Gamma \rightarrow \Delta, A, B}{\Gamma \rightarrow \Delta, A \vee B} (\vee \rightarrow) \quad \frac{\Gamma \rightarrow \Delta, A}{\Gamma, \neg A \rightarrow \Delta} (\neg \rightarrow) \quad \frac{\Gamma, A \rightarrow \Delta}{\Gamma \rightarrow \Delta, \neg A} (\rightarrow \neg) \\
 \frac{\Gamma, A \rightarrow \Delta, B}{\Gamma \rightarrow \Delta, A \supset B} (\supset \rightarrow) \quad \frac{A, \blacksquare A, \Gamma \rightarrow \Delta, \Box B}{\Box A, \Gamma \rightarrow \Delta, \Box B} (T)\Box \quad \frac{A, \blacksquare A, \Gamma \rightarrow \Delta, \blacksquare B}{\Box A, \Gamma \rightarrow \Delta, \blacksquare B} (T)\blacksquare \\
 \frac{\Gamma \rightarrow \Delta, A, B \quad \Gamma, B \rightarrow \Delta, A \quad \Gamma, A \rightarrow \Delta, B}{\Gamma \rightarrow \Delta, A \wedge B} (\rightarrow \wedge) \quad \frac{\Gamma, A, B \rightarrow \Delta \quad \Gamma, B \rightarrow \Delta, A \quad \Gamma, A \rightarrow \Delta, B}{\Gamma, A \vee B \rightarrow \Delta} (\vee \rightarrow) \\
 \frac{\Gamma, A, B \rightarrow \Delta \quad \Gamma, B \rightarrow \Delta, A \quad \Gamma \rightarrow \Delta, A, B}{\Gamma, A \supset B \rightarrow \Delta} (\supset \rightarrow) \\
 \frac{\Box \Gamma, \blacksquare A, \blacksquare \Phi, \Lambda \rightarrow \Box \Delta, \blacksquare \Psi, \Xi, A \quad A, \Box \Gamma, \blacksquare A, \blacksquare \Phi, \Lambda \rightarrow \Box \Delta, \blacksquare \Psi, \Xi}{\Box \Gamma, \Box A, \blacksquare \Phi, \Lambda \rightarrow \Box \Delta, \blacksquare \Psi, \Xi} (cut)_L \\
 \frac{\Box \Gamma, \blacksquare \Phi, \Lambda \rightarrow \Box \Delta, \blacksquare A, \blacksquare \Psi, \Xi, A \quad A, \Box \Gamma, \blacksquare \Phi, \Lambda \rightarrow \Box \Delta, \blacksquare A, \blacksquare \Psi, \Xi}{\Box \Gamma, \blacksquare \Phi, \Lambda \rightarrow \Box \Delta, \Box A, \blacksquare \Psi, \Xi} (cut)_R
 \end{array}$$

where  $\Lambda$  and  $\Xi$  denote sets of propositional variables.

$$\frac{\Box \Gamma, \Gamma \rightarrow \Box \Theta, A_1 \quad \cdots \quad \Box \Gamma, \Gamma \rightarrow \Box \Theta, A_m}{\blacksquare \Gamma, p_1, \dots, p_n \rightarrow \blacksquare A_1, \dots, \blacksquare A_m, q_1, \dots, q_l} (\Box)_{K4B}$$

where  $\Box \Theta = \{\Box A_1, \dots, \Box A_m\}$ .

Figure 1: Rules of **SK4B**

Here,  $\Box \Gamma$  denotes the set of formulas  $\{\Box A_1, \dots, \Box A_n\}$ , when  $\Gamma$  is  $\{A_1, \dots, A_n\}$ . The auxiliary modal operator  $\blacksquare$  has the same semantics as that of  $\Box$ , but  $\blacksquare$  plays a syntactical role different from  $\Box$ . In addition,  $\blacksquare \Gamma$  is defined similarly to  $\Box \Gamma$ . In order to facilitate our discussion, each application of the

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rules is supposed to generate the upper sequent(s) from the lower sequent, though an usual application is proceeded conversely. The modal operator  $\blacksquare$  is used to avoid redundant applications of the rules. Each upper sequent of  $(\Box)_{K4B}$  must be regarded as 'or'-branch, which means that if one of the upper sequents of  $(\Box)_{K4B}$  is provable then so is the lower sequent of it, while in other rules each upper sequent should be regarded as 'and'-branch. In order to emphasize 'or'-branch, double lines are used in  $(\Box)_{K4B}$ .

Let  $Sub(\Gamma)$  denote the set of the all subformulas of all formulas in  $\Gamma$ . The subformula property does not hold for  $SK4B$  in the strict sense, but for a given sequent  $\Gamma \rightarrow \Delta$ , any formula occurring in all proofs of  $\Gamma \rightarrow \Delta$  is in  $(\Gamma \cup \Delta)^{SK4B*}$ , where  $(\Gamma \cup \Delta)^{SK4B*} = Sub(\Gamma \cup \Delta) \cup \{\blacksquare A \mid \Box A \in Sub(\Gamma \cup \Delta)\}$ .

## 2 Model graphs for K4B

We construct **K4B**-models in which a given formula is not true if it is not provable in  $SK4B$ . In order to do so, we first need some technical machinery as discussed in [1].

Suppose that  $\Gamma \rightarrow \Delta$  is given. Then, let  $a(\Gamma \rightarrow \Delta)$  and  $s(\Gamma \rightarrow \Delta)$  denote the antecedent  $\Gamma$  and the succedent  $\Delta$ , respectively. For sequents  $\Gamma_1 \rightarrow \Delta_1$  and  $\Gamma_2 \rightarrow \Delta_2$ , we say that  $\Gamma_1 \rightarrow \Delta_1$  is in  $\Gamma_2 \rightarrow \Delta_2$  if  $\Gamma_1 \subseteq \Gamma_2$  and  $\Delta_1 \subseteq \Delta_2$ , and we write it as  $\Gamma_1 \rightarrow \Delta_1 \subseteq \Gamma_2 \rightarrow \Delta_2$ . A sequent  $\Gamma \rightarrow \Delta$  is *closed with respect to a rule (r)* if whenever (an instance of) the lower sequent of (r) is in  $\Gamma \rightarrow \Delta$ , so is (a corresponding instance of) at least one of the upper sequents of (r). For instance,  $\Gamma, A, B, A \wedge B \rightarrow \Delta$  is closed with respect to the rule  $(\wedge \rightarrow)$ , where  $A \wedge B$  occurring in the left-hand side is the principal formula in the application of  $(\wedge \rightarrow)$ . A sequent  $\Gamma \rightarrow \Delta$  is **SK4B-saturated** if it is not provable in  $SK4B$  and closed with respect to all rules except  $(\Box)_{K4B}$ . For the readability's sake, we omit  $SK4B-$  from **SK4B-saturated**.

In constructing counter-models, we associate saturated sequents with possible worlds. In order to generate a saturated sequent from a sequent  $\Gamma \rightarrow \Delta$  unprovable in  $SK4B$ , do as follows: Let  $w = \Gamma \rightarrow \Delta$ . While there is some rule (r), except  $(\Box)_{K4B}$ , with respect to which  $w$  is not closed, do the following: Let  $A$  be the principal formula of (r). Choose one of the corresponding instances of the upper sequents of (r), denoted by  $w'$ , which is unprovable in  $SK4B$ . Then, set  $w = (a(w') \cup \{A\}) \rightarrow s(w')$  if  $A$  occurs in the left-hand side of the lower sequent of (r), otherwise set  $w = a(w') \rightarrow (s(w') \cup \{A\})$ . By the iteration, we can obtain a saturated sequent of  $\Gamma \rightarrow \Delta$ . Note that the saturated sequent is still unprovable in  $SK4B$ .

**Definition 2.1 (K4B-Model Graphs)** *Let  $W$  be a nonempty set and  $R$  be a binary relation on  $W$ , that is  $R \subseteq W \times W$ . Then a **K4B-model graph** for a sequent  $\Gamma \rightarrow \Delta$  is a finite **K4B-frame**  $(W, R)$  such that  $W$  consists of **SK4B-saturated** sequents  $w$  with  $a(w), s(w) \subseteq (\Gamma \cup \Delta)^{SK4B*}$  and*

1.  $\Gamma \rightarrow \Delta \subseteq w_0$  for some  $w_0 \in W$ ,
2. if  $\blacksquare A \in s(w)$  then there exists some  $w' \in W$  with  $wRw'$  and  $A \in s(w')$ ,
3. if  $wRw'$  and  $\blacksquare A \in a(w)$  then  $A \in a(w')$ .

For the readability's sake, **SK4B-model graphs** is written simply as model graphs. For a set  $\Gamma = \{A_1, \dots, A_n\}$  of formulas, let  $\Gamma_*$  and  $\Gamma^*$  denote  $A_1 \wedge \dots \wedge A_n$  and  $A_1 \vee \dots \vee A_n$ , respectively.

**Lemma 2.2 (Satisfiability Lemma)** *If  $(W, R)$  is a **K4B-model graph** for  $\Gamma \rightarrow \Delta$  then there exists a **K4B-model**  $(W, R, \models)$  such that  $w \not\models \Gamma_* \supset \Delta^*$  for some  $w \in W$ .*

This lemma can be proven by giving a valuation such that, for any  $w \in W$ , if a propositional variable  $p$  occurs in  $a(w)$  then  $p$  is supposed to be true at  $w$ , that is  $w \models p$  if and only if  $p \in a(w)$ . Once we construct a model graph, we can obtain a **K4B-model** from Lemma 2.2 immediately.

## 3 Construction of counter-models for K4B

Now, it remains to be shown how to construct model graphs. In this section, we show it through an example, in which we construct a model graph for  $\Box\Box p \rightarrow \Box\neg p \vee \Box q$ . In Figure 2, the failed proofs of it are shown. The lower part is the whole failed proofs. The failed proofs can be obtained by applying the rules of  $SK4B$  repeatedly. In addition, all the top sequents are not initial sequents. We note that

failed proofs are put together with the double line of  $(\Box)_{K4B}$ . Although  $(\Box)_{K4B}$  is applicable to the top sequents shown explicitly in  $P_1$  and  $P_2$ , we do not need to apply  $(\Box)_{K4B}$  to them, because we can construct a model graph without doing it.

First of all, we generate possible worlds from sequents. Take the end sequent  $\Box\Box p \rightarrow \Box\neg p \vee \Box q$  and the end sequents of  $P_1$  and  $P_2$ , and saturate them. The failed proofs facilitate this saturation. Then we can obtain three possible worlds  $w_0$ ,  $w_1$  and  $w_2$ . Second of all, define the accessibility relation  $R$ . In Figure 2, arrows denote  $R$ . We note that  $R$  must be transitive and symmetric. Then we can obtain a **K4B**-frame, whose height is 1, or rather a model graph. Finally, we can obtain a counter-model of  $\Box\Box p \rightarrow \Box\neg p \vee \Box q$  by giving a valuation as stated at the end of the previous section. We can see that  $\Box\Box p \supset \Box\neg p \vee \Box q$  is not true at  $w_0$ .

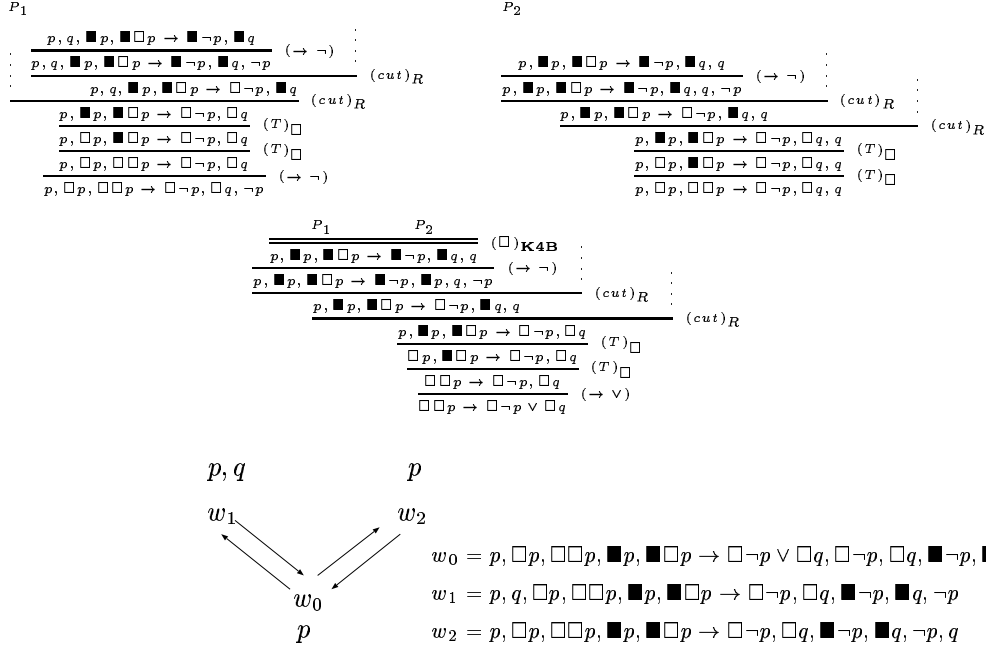


Figure 2: A counter-model of  $\Box\Box p \supset \Box\neg p \vee \Box q$

In order to confirm that the above **K4B**-frame is a model graph, it is required to show the following two properties: For  $i = 1, 2$ , (1)  $\blacksquare A \in a(w_i)$  implies  $\blacksquare A \in a(w_0)$ , and (2)  $\blacksquare A \in s(w_i)$  implies  $\blacksquare A \in s(w_0)$ . From these properties, the properties of model graph in Definition 2.1 follow.

## 4 Concluding remarks

Some consequences can be obtained by constructing counter-models. First, we can obtain a proof of completeness of **SK4B** by giving a counter-model if a given formula is not provable in **SK4B**. Second, we can obtain the finite model property for **K4B**. Recall that the set of possible worlds of our model graphs is always finite. Also, the decidability for **K4B** follows immediately. Thus, we can regard **SK4B** as a decision procedure for **K4B**, which gives us a proof if a given formula is provable.

## References

- [1] R. Goré, *Tableau Methods for Modal and Temporal Logics*, Handbook of Tableau Methods, M. D'Agostino, D. M. Gabbay, R. Hähnle and J. Posegga editors, pp. 297–396, Kluwer Academic Publishers, 1999.
- [2] M. Takano, *Subformula Property as a Substitute for Cut-Elimination in Modal Propositional Logics*, *Mathematica Japonica*, 37(6):1129–1145, 1992.