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# A construction of an exact model for **S4**

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**Abstract.** Here, we consider the set  $\mathbf{F}^n$  of formulas with modal degree  $k(\leq n)$  and having only propositional variables  $p_1, \dots, p_m$  in modal logic **S4**. An exact model  $M$  for  $\mathbf{F}^n$  is one of the simplest Kripke models satisfying  $M \models A \Leftrightarrow \mathbf{S4} \vdash A$  for any  $A \in \mathbf{F}^n$ . Therefore, the model is useful to investigate the provability of formulas in **S4**. Moss [Mos07] constructed a Kripke model which can be shown to be exact for  $\mathbf{F}^n$ . However, his construction depends on the provability of **S4**. Here, we construct an exact model for  $\mathbf{F}^n$  without using the provability of **S4**.

## 1 Introduction

Formulas are constructed from  $\perp$  (contradiction) and the propositional variables  $p_1, p_2, \dots$  by using logical connectives  $\wedge$  (conjunction),  $\vee$  (disjunction),  $\supset$  (implication), and  $\Box$  (necessitation). We use upper case Latin letters,  $A, B, C, \dots$ , with or without subscripts, for formulas. Also, we use Greek letters,  $\Gamma, \Delta, \dots$ , with or without subscripts, for finite sets of formulas. The expressions  $\Box\Gamma$  and  $\Gamma^\Box$  denote the sets  $\{\Box A \mid A \in \Gamma\}$  and  $\{\Box A \mid \Box A \in \Gamma\}$ , respectively. The *depth*  $d(A)$  of a formula  $A$  is defined as

$$\begin{aligned} d(p_i) &= d(\perp) = 0, \\ d(B \wedge C) &= d(B \vee C) = d(B \supset C) = \max\{d(B), d(C)\}, \\ d(\Box B) &= d(B) + 1. \end{aligned}$$

Let **ENU** be an enumeration of the formulas. For a non-empty finite set  $\Gamma$  of formulas, the expressions  $\bigwedge \Gamma$  and  $\bigvee \Gamma$  denote the formulas

$$(\dots((A_1 \wedge A_2) \wedge A_3) \dots \wedge A_n) \quad \text{and} \quad (\dots((A_1 \vee A_2) \vee A_3) \dots \vee A_n),$$

respectively, where  $\{A_1, \dots, A_n\} = \Gamma$  and  $A_i$  occurs earlier than  $A_{i+1}$  in **ENU**. Also, the expressions  $\bigwedge \emptyset$  and  $\bigvee \emptyset$  denote the formulas  $\perp \supset \perp$  and  $\perp$ , respectively.

The set of propositional variables  $p_1, \dots, p_m$  ( $m \geq 1$ ) is denoted by **V** and the set of formulas constructed from **V** and  $\perp$  is denoted by **F**. Also, for any  $n = 0, 1, \dots$ , we define  $\mathbf{F}^n$  as  $\mathbf{F}^n = \{A \in \mathbf{F} \mid d(A) \leq n\}$ . In the present paper, we mainly treat the set  $\mathbf{F}^n$ .

By **S4**, we mean the sequent system defined by Ohnishi and Matsumoto [OM57]. Below, we introduce this system.

A *sequent* is the expression  $(\Gamma \rightarrow \Delta)$ . We often refer to  $\Gamma \rightarrow \Delta$  as  $(\Gamma \rightarrow \Delta)$  for brevity and refer to

$$A_1, \dots, A_i, \Gamma_1, \dots, \Gamma_j \rightarrow \Delta_1, \dots, \Delta_k, B_1, \dots, B_\ell$$

as

$$\{A_1, \dots, A_i\} \cup \Gamma_1 \cup \dots \cup \Gamma_j \rightarrow \Delta_1 \cup \dots \cup \Delta_k \cup \{B_1, \dots, B_\ell\}.$$

We use upper case Latin letters  $X, Y, Z, \dots$ , with or without subscripts, for sequents. The *antecedent*  $\mathbf{ant}(\Gamma \rightarrow \Delta)$  and the *succedent*  $\mathbf{suc}(\Gamma \rightarrow \Delta)$  of a sequent  $\Gamma \rightarrow \Delta$  are defined as

$$\mathbf{ant}(\Gamma \rightarrow \Delta) = \Gamma \quad \text{and} \quad \mathbf{suc}(\Gamma \rightarrow \Delta) = \Delta,$$

respectively. Also, for a sequent  $X$  and a set  $\mathcal{S}$  of sequents, we define  $\mathbf{for}(X)$  and  $\mathbf{for}(\mathcal{S})$  as

$$\mathbf{for}(X) = \begin{cases} \bigwedge \mathbf{ant}(X) \supset \bigvee \mathbf{suc}(X) & \text{if } \mathbf{ant}(X) \neq \emptyset \\ \bigvee \mathbf{suc}(X) & \text{if } \mathbf{ant}(X) = \emptyset \end{cases}$$

and

$$\mathbf{for}(S) = \{\mathbf{for}(X) \mid X \in S\}.$$

For a finite set  $S$  of formulas or sequents, the expression  $\#(S)$  denotes the number of elements in  $S$ .

[OM57] defined the system by adding the following two inference rules to the sequent system **LK** given by Gentzen [Gen35] for the classical propositional logic:

$$\frac{A, \Gamma \rightarrow \Delta}{\Box A, \Gamma \rightarrow \Delta} (\Box \rightarrow) \qquad \frac{\Box \Gamma \rightarrow A}{\Box \Gamma \rightarrow \Box A} (\rightarrow \Box).$$

Here, we do not use  $\neg$  as a primary connective, so we use the additional axiom  $\perp \rightarrow$  instead of the inference rules  $(\neg \rightarrow)$  and  $(\rightarrow \neg)$ . We write  $X \in \mathbf{S4}$  if  $X$  is provable in **S4**. [OM57] proved that this system enjoys a cut-elimination theorem:

**Lemma 1.1** ([OM57]) *If  $X \in \mathbf{S4}$ , then there exists a cut-free proof figure for  $X$  in **S4**.*

We use  $A \equiv B$  instead of  $\rightarrow (A \supset B) \wedge (B \supset A) \in \mathbf{S4}$ . Also, for any two equivalence classes  $[A]$  and  $[B]$  in  $\mathbf{F}^n / \equiv$ , we use  $[A] \leq [B]$  instead of  $A \rightarrow B \in \mathbf{S4}$ . Thus, structure  $\langle \mathbf{F}^n / \equiv, \leq \rangle$  expresses the mutual relation of formulas.

A *Kripke model* is a structure  $\langle W, R, P \rangle$  where  $W$  is a non-empty set,  $R$  is a binary relation on  $W$ , and  $P$  is a mapping from the set of propositional variables to  $2^W$ . We extend, as usual, the domain of  $P$  to include all formulas. We call  $P$  a *valuation* and a member of  $W$  a *world*. For a Kripke model  $M = \langle W, R, P \rangle$ , and for a world  $\alpha \in W$ , we often write  $(M, \alpha) \models A$  and  $M \models A$  instead of  $\alpha \in P(A)$  and  $P(A) = W$ , respectively.

Let  $S$  be a set of formulas closed under  $\supset$  and  $\wedge$ . We say that a Kripke model  $M = \langle W, R, P \rangle$  is *exact* for  $S$  if the following two conditions hold:

- for any  $A \in S$ ,  $M \models A$  if and only if  $\rightarrow A \in \mathbf{S4}$ ,
- $\{P(A) \mid A \in S\} = 2^W$ .

This model was introduced in de Bruijn [Bru75]. The following lemma is observed easily; therefore, exact models are useful to investigate the structure  $\langle \mathbf{F}^n / \equiv, \leq \rangle$ .

**Lemma 1.2** *Let  $\langle W, R, P \rangle$  be an exact model for  $\mathbf{F}^n$ . Then the mapping  $P^*$  from  $\mathbf{F}^n / \equiv$  to  $2^W$  defined as*

$$P^*([A]) = P(A)$$

*is an isomorphism and the structure  $\langle \mathbf{F}^n / \equiv, \leq \rangle$  is isomorphic to the structure  $\langle 2^W, \subseteq \rangle$ .*

As we mentioned, the Kripke model constructed in [Mos07] is an exact model for  $\mathbf{F}^n$ . The construction depends on the provability of **S4**. On the other hand, [Sas09] constructed a way to list all exact models for  $\mathbf{F}^n$  by using exact sets for  $\mathbf{F}^n$ . The construction of the way does not depend on the provability of **S4**. Here, we directly construct an exact model for  $\mathbf{F}^n$  without using the provability of **S4**.

In the next section, we introduce an exact set and we give the way constructed in [Sas09]. In section 3, we construct an exact set for  $\mathbf{F}^n$ , and using a result in [Sas09], we obtain an exact model for  $\mathbf{F}^n$ .

## 2 Exact sets and exact models for $\mathbf{F}^n$

In the present section, we introduce an exact set for  $\mathbf{F}^n$  and a way to list all exact models for  $\mathbf{F}^n$  following [Sas09]. Every lemma in the present section has been proved in [Sas09].

First, we introduce the three sets  $\mathbf{G}(n)$ ,  $\mathbf{G}^*(n)$ ,  $\mathbf{ED}^n$  as follows. We can see the relation among these three sets in Definition 2.2. Also, we can treat the set  $\mathbf{ED}^n$  as the set of formulas, which behave like elementary disjunctions in the classical propositional logic. In other words,  $\mathbf{ED}^n$  satisfies the following two conditions:

- $\mathbf{F}^n / \equiv = \{[\bigwedge \mathbf{for}(S)] \mid S \subseteq \mathbf{ED}^n\}$ ,

- for subsets  $\mathcal{S}_1$  and  $\mathcal{S}_2$  of  $\mathbf{ED}^n$ ,

$$\mathcal{S}_1 \subseteq \mathcal{S}_2 \text{ if and only if } \bigwedge \mathbf{for}(\mathcal{S}_2) \rightarrow \bigwedge \mathbf{for}(\mathcal{S}_1) \in \mathbf{S4}.$$

**Definition 2.1** The sets  $\mathbf{G}(n)$  and  $\mathbf{G}^*(n)$  of sequents are defined inductively as follows.

$$\mathbf{G}(0) = \{(\mathbf{V} - V_1 \rightarrow V_1) \mid V_1 \subseteq \mathbf{V}\},$$

$$\mathbf{G}^*(0) = \emptyset,$$

$$\mathbf{G}(k+1) = \bigcup_{X \in \mathbf{G}(k) - \mathbf{G}^*(k)} \mathbf{next}(X),$$

$$\mathbf{G}^*(k+1) = \{X \in \mathbf{G}(k+1) \mid (\mathbf{ant}(X))^\square \subseteq (\mathbf{ant}(Y))^\square \text{ implies } (\mathbf{ant}(X))^\square = (\mathbf{ant}(Y))^\square, \text{ for any } Y \in \mathbf{G}(k+1)\},$$

where for any  $X \in \mathbf{G}(k)$ ,

$$\mathbf{next}^+(X) = \{(\square\Gamma, \mathbf{ant}(X) \rightarrow \mathbf{suc}(X), \square\Delta) \mid \Gamma \cup \Delta = \mathbf{for}(\mathbf{G}(n)), \Gamma \cap \Delta = \emptyset, \mathbf{for}(X) \in \Delta\},$$

$$\mathbf{prov}(X) = \{Y \in \mathbf{next}^+(X) \mid Y \in \mathbf{S4}\},$$

$$\mathbf{next}(X) = \mathbf{next}^+(X) - \mathbf{prov}(X).$$

**Definition 2.2** We define the sets  $\mathbf{ED}^n$  and  $\mathbf{G}^*$  as follows:

$$\mathbf{ED}^n = \mathbf{G}(n) \cup \bigcup_{i=0}^{n-1} \mathbf{G}^*(i), \quad \mathbf{G}^* = \bigcup_{i=0}^{\infty} \mathbf{G}^*(i).$$

Concerning with  $\mathbf{G}(n)$ , we have the following lemma.

**Lemma 2.3**

(1) *None of the members in  $\mathbf{G}(n)$  is provable in  $\mathbf{S4}$ .*

(2) *Let  $X, Y$  and  $Z$  be sequent in  $\mathbf{G}(n_1)$ ,  $\mathbf{G}(n_2)$  and  $\mathbf{G}(n_3)$ , respectively. Then*

$$\square\mathbf{for}(X) \in \mathbf{suc}(Y) \text{ and } \square\mathbf{for}(Y) \in \mathbf{suc}(Z) \text{ imply } \square\mathbf{for}(X) \in \mathbf{suc}(Z),$$

(3) *For any  $X \in \mathbf{G}(n)$ ,  $\mathbf{ant}(X) \cup \mathbf{suc}(X) = \mathbf{V} \cup \bigcup_{i=0}^{n-1} \square\mathbf{for}(\mathbf{G}(i))$  and  $\mathbf{ant}(X) \cap \mathbf{suc}(X) = \emptyset$ ,*

(4) *For any  $X, Y \in \mathbf{G}(n)$ ,  $\mathbf{for}(\mathbf{next}(X)) \rightarrow \mathbf{for}(X) \in \mathbf{S4}$ .*

In Definition 2.1, we use the provability of  $\mathbf{S4}$  to define  $\mathbf{prov}(X)$  for  $X \in \mathbf{G}(n)$ . [Sas09] also gave the set without using the provability of  $\mathbf{S4}$  as follows.

**Definition 2.4** For any  $X \in \mathbf{G}(n)$ , we define  $\mathbf{prov}_1(X)$ ,  $\mathbf{prov}_2(X)$  and  $\mathbf{prov}_3(X)$  as follows:

$$\mathbf{prov}_1(X) = \{(\Gamma \rightarrow \Delta, \square\mathbf{for}(Y)) \in \mathbf{next}^+(X) \mid Y \in \mathbf{G}(n), (\mathbf{ant}(X))^\square \not\subseteq (\mathbf{ant}(Y))^\square\},$$

$$\mathbf{prov}_2(X) = \{(\Gamma \rightarrow \Delta, \square\mathbf{for}(Y)) \in \mathbf{next}^+(X) \mid Y \in \mathbf{G}(n), \square\mathbf{for}(Z_\ominus) \in \mathbf{suc}(Y), \square\mathbf{for}(\{Z \in \mathbf{next}(Z_\ominus) \mid (\mathbf{ant}(Y))^\square \subseteq (\mathbf{ant}(Z))^\square\}) \subseteq \Gamma \text{ for some } Z_\ominus \in \mathbf{G}(n-1) - \mathbf{G}^*(n-1)\},$$

$$\mathbf{prov}_3(X) = \{(\square\mathbf{for}(Y), \Gamma \rightarrow \Delta, \square\mathbf{for}(Z)) \in \mathbf{next}^+(X) \mid Y, Z \in \mathbf{G}^*(n), (\mathbf{ant}(Y))^\square = (\mathbf{ant}(Z))^\square\}.$$

**Lemma 2.5** *For any  $X \in \mathbf{G}(n) - \mathbf{G}^*(n)$ ,*

$$\mathbf{prov}(X) = \mathbf{prov}_1(X) \cup \mathbf{prov}_2(X) \cup \mathbf{prov}_3(X).$$

For a sequent  $X \in \mathbf{G}(n+2)$ , there exists a sequent  $Y \in \mathbf{G}(n+1) - \mathbf{G}^*(n+1)$  such that  $X \in \mathbf{next}(Y)$ , and similarly, there exists a sequent  $Z \in \mathbf{G}(n) - \mathbf{G}^*(n)$  such that  $Y \in \mathbf{next}(Z)$ . Here, we can see a relation between  $X$  and  $Z$ . In order to express this relation, we introduce some notions. We note that, by the Lemma 2.3(3), every sequent in  $\mathbf{G}(n)$  consists of the members in the set  $\mathbf{V} \cup \bigcup_{i=0}^{n-1} \square\mathbf{for}(\mathbf{G}(i))$ .

**Definition 2.6** For any  $X \in \mathbf{G}(n)$  and for any  $k$ , we define  $X(k)$  as

$$X(k) = (\mathbf{ant}(X) \cap \mathbf{V} \cup \bigcup_{i=0}^{k-1} \square \mathbf{for}(\mathbf{G}(i)) \rightarrow \mathbf{suc}(X) \cap \mathbf{V} \cup \bigcup_{i=0}^{k-1} \square \mathbf{for}(\mathbf{G}(i))).$$

**Definition 2.7** For any  $X \in \mathbf{G}(n)$ , we define the sets  $X \Downarrow$  inductively as follows:

- (1)  $X \in X \Downarrow$ ,
- (2) if  $Y \in \mathbf{next}(Z)$  for some  $Z \in X \Downarrow - \mathbf{G}^*$ , then  $Y \in X \Downarrow$ .

**Lemma 2.8** For any  $X \in \mathbf{G}(n)$  and for any  $Y \in \mathbf{G}(k)$ ,

- (1)  $n \neq 0$  implies  $X(n-1) \in \mathbf{G}(n-1) - \mathbf{G}^*(n-1)$  and  $X \in \mathbf{next}(X(n-1))$ ,
- (2)  $n > k$  implies  $X(k) \in \mathbf{G}(k) - \mathbf{G}^*(k)$ ,  $X \in X(k) \Downarrow$  and  $\square \mathbf{for}(X(k)) \in \mathbf{suc}(X)$ ,
- (3) the following three conditions are equivalent:
  - (3.1)  $\mathbf{ant}(Y) \subseteq \mathbf{ant}(X)$  and  $\mathbf{suc}(Y) \subseteq \mathbf{suc}(X)$ ,
  - (3.2)  $n \geq k$  and  $Y = X(k)$ ,
  - (3.3)  $X \in Y \Downarrow$ .

Below, we introduce an exact set for  $\mathbf{F}^n$  and show results in [Sas09] concerning with exact models for  $\mathbf{F}^n$ .

**Definition 2.9**

- (1) A set  $\mathcal{E}$  is said to be exact for  $\mathbf{F}^n$  if the following three conditions hold:

$$(1.1) \bigcup_{i=0}^n \mathbf{G}^*(i) \subseteq \mathcal{E} \subseteq \mathbf{G}^*,$$

$$(1.2) \text{ for any } X \in \mathbf{ED}^n, \#(X \Downarrow \cap \mathcal{E}) = 1,$$

$$(1.3) \text{ for any } X \in \mathcal{E} \text{ and for any } Y \in W_{\mathbf{E}}, XR_{\mathbf{E}}Y \text{ implies } Y \in \mathcal{E},$$

where  $R_{\mathbf{E}} = \{(X, Y) \mid \square \mathbf{for}(X) \in \mathbf{suc}(Y) \text{ or } ((\mathbf{ant}(X))^\square, (\mathbf{suc}(X))^\square) = ((\mathbf{ant}(Y))^\square, (\mathbf{suc}(Y))^\square)\}$ .

- (2) For an exact set  $\mathcal{E}$  for  $\mathbf{F}^n$ , the Kripke model  $\mathbf{EM}_{\mathcal{E}}$  is defined as

$$\mathbf{EM}_{\mathcal{E}} = \langle \mathcal{E}, R_{\mathcal{E}}, P_{\mathcal{E}} \rangle,$$

where  $R_{\mathcal{E}} = \mathcal{E}^2 \cap R_{\mathbf{E}}$  and  $P_{\mathcal{E}}(p_i) = \mathcal{E} \cap \{X \mid p_i \in \mathbf{ant}(X)\}$ .

**Lemma 2.10**

- (1) For any exact set  $\mathcal{E}$  for  $\mathbf{F}^n$ ,  $\mathbf{EM}_{\mathcal{E}}$  is an exact model for  $\mathbf{F}^n$ .

(2) For any exact model  $M$  for  $\mathbf{F}^n$ , there exists an exact set  $\mathcal{E}$  for  $\mathbf{F}^n$  such that  $M$  is isomorphic to  $\mathbf{EM}_{\mathcal{E}}$ .

- (3) Every exact set for  $\mathbf{F}^n$  is a subset of  $\bigcup_{i=0}^{n+2\#(\mathbf{ED}^n - W_{\mathbf{E}})} \mathbf{G}^*(i)$ .

- (4) Let  $\mathcal{E}$  be an exact set for  $\mathbf{F}^n$ . Then for any  $A \in \mathbf{F}^n$ ,

$$A \equiv \bigwedge \{ \mathbf{for}(X(n)) \mid X \in \mathcal{E}, (\mathbf{EM}_{\mathcal{E}}, X) \not\models A \}.$$

By (2) of the above lemma and the exact model for  $\mathbf{F}^n$  in [Mos07], we can see that there exists an exact set for  $\mathbf{F}^n$ .

### 3 A construction of an exact set for $\mathbf{F}^n$ without the provability of $\mathbf{S4}$

In the present section, we construct an exact set for  $\mathbf{F}^n$  without using the provability of  $\mathbf{S4}$ . As a result, using Lemma 2.10(1), we obtain an exact model for  $\mathbf{F}^n$ . First, we construct the sequent  $X^* \in X \Downarrow \cap \mathbf{G}^*$  for  $X \in \mathbf{G}(n)$ , and using  $X^*$ , we construct an exact set for  $\mathbf{F}^n$ .

**Definition 3.1** Let  $X$  and  $Y_{\oplus}$  be sequents in  $\mathbf{G}(n)$  and  $\mathbf{G}(n+1)$ , respectively. Let  $\Delta$  be a finite set of sequents. Then we define three sequents  $\mathbf{n}(X, \Delta)$ ,  $\mathbf{n}(X, Y_{\oplus})$  and  $\mathbf{n}(Y_{\oplus})$  as follows.

$$\begin{aligned}\mathbf{n}(X, \Delta) &= (\Box\text{for}(\mathbf{G}(n) - (\{X\} \cup \Delta)), \text{ant}(X) \rightarrow \text{suc}(X), \Box\text{for}(\{X\} \cup \Delta)), \\ \mathbf{n}(X, Y_{\oplus}) &= \mathbf{n}(X, \{\Box\text{for}(Z) \in \text{suc}(Y_{\oplus}) \cap \Box\text{for}(\mathbf{G}(n)) \mid (\text{ant}(X))^{\square} \subseteq (\text{ant}(Z))^{\square}\}), \\ \mathbf{n}(Y_{\oplus}) &= \mathbf{n}(Y_{\oplus}, \{\mathbf{n}(X, Y_{\oplus}) \mid \Box\text{for}(X) \in \text{suc}(Y_{\oplus}) \cap \Box\text{for}(\mathbf{G}(n) - \mathbf{G}^*(n))\}).\end{aligned}$$

We note that if  $\Delta \subseteq \mathbf{G}(n)$ , then  $\mathbf{n}(X, \Delta) \in \text{next}^+(X)$ . Also, by the following lemma, we can see that  $\mathbf{n}(Y_{\oplus}) \in \text{next}^+(Y_{\oplus})$ .

**Lemma 3.2** ([Sas09]) *Let  $X$  and  $Y_{\oplus}$  be sequents in  $\mathbf{G}(n)$  and  $\mathbf{G}(n+1)$ , respectively. If  $\Box\text{for}(X) \in \text{suc}(Y_{\oplus})$ , then  $\mathbf{n}(X, Y_{\oplus}) \in \text{next}(X)$ .*

**Definition 3.3** For any  $X \in \mathbf{G}(n)$ , we define the set  $\mathbf{clus}(X)$  as follows.

$$\mathbf{clus}(X) = \{Y \in \mathbf{G}(n) \mid (\text{ant}(X))^{\square} = (\text{ant}(Y))^{\square}\}.$$

We note that, by Lemma 2.3(3),

$$R_{\mathcal{E}} = \mathcal{E}^2 \cap \{(X, Y) \mid \Box\text{for}(X) \in \text{suc}(Y) \text{ or } X \in \mathbf{clus}(Y)\}.$$

**Definition 3.4** For a sequent  $X \in \mathbf{G}(n)$ , we define  $\mathbf{mnext}^k(X)$  as follows.

- (1)  $\mathbf{mnext}^0(X) = X$ ,
- (2)  $\mathbf{mnext}^{k+1}(X) = \mathbf{n}(\mathbf{mnext}^k(X), \mathbf{G}(n+k))$ .

By Lemma 2.5, we can see that  $\mathbf{mnext}^k(X) \in \mathbf{G}(n+k)$  for any  $X \in \mathbf{G}(0)$ .

Our main purpose is to prove the following theorem.

**Theorem 3.5**

- (1)  $\mathbf{G}^*(1)$  is an exact set for  $\mathbf{F}^0$ .
- (2) For any  $k \in \{1, 2, \dots\}$  and for any  $X \in \mathbf{G}(k)$ , we can define the sequent  $X^*$  inductively as

$$X^* = \begin{cases} X & \text{if } X \in \mathbf{G}^*(k) \\ (\mathbf{n}(X))^* & \text{if } X \notin \mathbf{G}^*(k), \end{cases}$$

and the set

$$\mathbf{G}^* \cap (\{Z \mid \Box\text{for}(Z) \in \text{suc}((\mathbf{mnext}^{n+1}(\rightarrow \mathbf{V}))^*)\} \cup \mathbf{clus}((\mathbf{mnext}^{n+1}(\rightarrow \mathbf{V}))^*))$$

is an exact set for  $\mathbf{F}^{n+1}$ .

By Lemma 2.5, we have

$$\mathbf{G}^*(1) = \{\mathbf{n}(X, \emptyset) \mid X \in \mathbf{G}(0)\},$$

and therefore, we obtain Theorem 3.5(1). To prove Theorem 3.5(2), we need some lemmas.

**Lemma 3.6** *Let  $X$  and  $Y_{\ominus}$  be sequents in  $\mathbf{G}(n+1)$  and  $\mathbf{G}(n) - \mathbf{G}^*(n)$ , respectively. Let  $Y$  be a sequent in  $\text{next}^+(Y_{\ominus})$ . If  $(\text{ant}(X))^{\square} = (\text{ant}(Y))^{\square}$ , then  $Y \in \text{next}(Y_{\ominus})$ .*

**Proof.** By Lemma 2.5 and Lemma 2.8(1), we have  $X \notin \mathbf{prov}_1(X(n)) \cup \mathbf{prov}_2(X(n)) \cup \mathbf{prov}_3(X(n))$ . Using  $Y \in \text{next}^+(Y_{\ominus})$  and  $(\text{ant}(X))^{\square} = (\text{ant}(Y))^{\square}$ , it is not hard to see  $Y \notin \mathbf{prov}_1(Y_{\ominus}) \cup \mathbf{prov}_2(Y_{\ominus}) \cup \mathbf{prov}_3(Y_{\ominus})$ . Using Lemma 2.5, we obtain  $Y \in \text{next}(Y_{\ominus})$ .  $\dashv$

**Lemma 3.7** *Let  $X$  be a sequent in  $\mathbf{G}(n+1) - \mathbf{G}^*(n+1)$  and let  $\Delta$  be a subset of  $\mathbf{G}(n+1)$  satisfying  $\mathbf{n}(X, \Delta) \in \text{next}(X)$ . Then*

- (1)  $\Delta \subseteq \mathbf{clus}(X)$  implies  $\mathbf{n}(X, \Delta) \in \mathbf{G}^*(n+2)$ ,
- (2)  $\Delta \subseteq \mathbf{G}^*(n+1) \cup \mathbf{clus}(X)$  implies either  $\mathbf{n}(X, \Delta) \in \mathbf{G}^*(n+2)$  or  $\mathbf{n}(\mathbf{n}(X, \Delta), \mathbf{clus}(\mathbf{n}(X, \Delta))) \in \mathbf{G}^*(n+3)$ .

**Proof.**

For (1). Suppose that  $\mathbf{n}(X, \Delta) \notin \mathbf{G}^*(n+2)$ . Then there exists a sequent  $Y_{n+2} \in \mathbf{G}(n+2)$  such that  $(\mathbf{ant}(\mathbf{n}(X, \Delta)))^\square \subsetneq (\mathbf{ant}(Y_{n+2}))^\square$ . Using Lemma 2.3(3) and Lemma 2.8(1), we have either

$$(\mathbf{ant}(X))^\square \subsetneq (\mathbf{ant}(Y_{n+2}(n)))^\square \quad (1.1)$$

or

$$\mathbf{ant}(\mathbf{n}(X, \Delta)) \cap \square\mathbf{for}(\mathbf{G}(n)) \subsetneq \mathbf{ant}(Y_{n+2}) \cap \square\mathbf{for}(\mathbf{G}(n)). \quad (1.2)$$

We divide the cases.

The case that (1.1) holds. By (1.1), we have  $Y_{n+2}(n) \in \mathbf{G}(n) - \mathbf{clus}(X)$ , and therefore,

$$\square\mathbf{for}(Y_{n+2}(n)) \in \square\mathbf{for}(\mathbf{G}(n) - \mathbf{clus}(X)) \subseteq (\mathbf{ant}(\mathbf{n}(X, \Delta)))^\square \subsetneq (\mathbf{ant}(Y_{n+2}))^\square. \quad (1.3)$$

On the other hand, by Lemma 2.8(1), we have  $Y_{n+2} \in \mathbf{next}(Y_{n+2}(n))$  and  $\square\mathbf{for}(Y_{n+2}(n)) \in \mathbf{succ}(Y_{n+2})$ , which is in contradiction with (1.3) and Lemma 2.3(3).

The case that (1.1) does not hold. We have (1.2) and

$$(\mathbf{ant}(X))^\square = (\mathbf{ant}(Y_{n+2}(n)))^\square. \quad (1.4)$$

By (1.2) and Lemma 2.3(3), there exists a sequent  $Z \in \{X\} \cup \Delta \subseteq \mathbf{clus}(X)$  such that  $\square\mathbf{for}(Z) \in (\mathbf{ant}(Y_{n+2}))^\square$ . By Lemma 2.8(1), we have  $Z(n-1) \in \mathbf{G}(n-1)$  and  $Z \in \mathbf{next}(Z(n-1))$ . Using Lemma 2.3(3), we have  $\square\mathbf{for}(\mathbf{next}(Z(n-1))) \cap \mathbf{clus}(X) = \{\square\mathbf{for}(Z)\}$ . Using  $\square\mathbf{for}(\mathbf{next}(Z(n-1))) - \mathbf{clus}(X) \subseteq (\mathbf{ant}(\mathbf{n}(X, \Delta)))^\square$ , we have

$$\square\mathbf{for}(\mathbf{next}(Z(n-1))) \subseteq (\mathbf{ant}(\mathbf{n}(X, \Delta)))^\square \cup \{\square\mathbf{for}(Z)\} \subseteq (\mathbf{ant}(Y_{n+2}))^\square. \quad (1.5)$$

On the other hand, by  $\square\mathbf{for}(Z(n-1)) \rightarrow \square\mathbf{for}(Z) \in \mathbf{S4}$ ,  $\square\mathbf{for}(Z) \in \{X\} \cup \Delta \subseteq \mathbf{succ}(X)$ , and Lemma 2.3(1), we have  $\square\mathbf{for}(Z(n-1)) \in \mathbf{succ}(X)$ . Using Lemma 2.3(3) and (1.4) we have  $\square\mathbf{for}(Z(n-1)) \in (\mathbf{succ}(Y_{n+2}(n)))^\square \subseteq (\mathbf{succ}(Y_{n+2}))^\square$ . Using (1.5) and Lemma 2.3(4), we have  $Y_{n+2} \in \mathbf{S4}$ , which is in contradiction with  $Y_{n+2} \in \mathbf{G}(n+2)$  and Lemma 2.4(1).

For (2). For brevity's sake, we refer to  $X_{n+2}$  as  $\mathbf{n}(X, \Delta)$ . We note that  $X_{n+2} = \mathbf{n}(X, \Delta) \in \mathbf{next}(X) \subseteq \mathbf{G}(n+2)$ . Suppose that  $X_{n+2} \notin \mathbf{G}^*(n+2)$ . Then by (1),

$$\mathbf{n}(X_{n+2}, \mathbf{clus}(X_{n+2})) \in \mathbf{next}(X_{n+2}) \text{ implies } \mathbf{n}(X_{n+2}, \mathbf{clus}(X_{n+2})) \in \mathbf{G}^*(n+3).$$

Using Lemma 2.5, we have only to show

$$\mathbf{n}(X_{n+2}, \mathbf{clus}(X_{n+2})) \in \mathbf{next}^+(X_{n+2}) - (\mathbf{prov}_1(X_{n+2}) \cup \mathbf{prov}_2(X_{n+2}) \cup \mathbf{prov}_3(X_{n+2})).$$

It is not hard to see that

$$\mathbf{n}(X_{n+2}, \mathbf{clus}(X_{n+2})) \in \mathbf{next}^+(X_{n+2}) - (\mathbf{prov}_1(X_{n+2}) \cup \mathbf{prov}_3(X_{n+2})).$$

We show

$$\mathbf{n}(X_{n+2}, \mathbf{clus}(X_{n+2})) \notin \mathbf{prov}_2(X_{n+2}). \quad (2.1)$$

Suppose that (2.1) does not hold. Then there exist sequents  $Y_{n+2} \in \mathbf{G}(n+2)$  and  $Z \in \mathbf{G}(n) - \mathbf{G}^*(n)$  such that

$$Y_{n+2} \in \mathbf{clus}(X_{n+2}), \quad (2.2)$$

$$\square\mathbf{for}(Z) \in \mathbf{succ}(Y_{n+2}), \quad (2.3)$$

$$\square\mathbf{for}(\{Z_{n+2} \in \mathbf{next}(Z) \mid (\mathbf{ant}(Y_{n+2}))^\square \subseteq (\mathbf{ant}(Z_{n+2}))^\square\}) \subseteq \mathbf{ant}(\mathbf{n}(X_{n+2}, \mathbf{clus}(X_{n+2}))). \quad (2.4)$$

By (2.2) and Lemma 2.3(3), we have  $(\mathbf{succ}(Y_{n+2}))^\square = (\mathbf{succ}(X_{n+2}))^\square$ . Using (2.3), we have

$$\square\mathbf{for}(Z) \in (\mathbf{succ}(X_{n+2}))^\square \cap \square\mathbf{for}(\mathbf{G}(n) - \mathbf{G}^*(n)) \subseteq \square\mathbf{for}(\{X\} \cup \Delta - \mathbf{G}^*(n)) \subseteq \square\mathbf{for}(\mathbf{clus}(X));$$

and thus,  $Z \in \mathbf{clus}(X)$ . We define  $Z_{n+2}$  as

$$Z_{n+2} = \mathbf{n}(Z, \{X\} \cup \Delta).$$

Then by  $\square\text{for}(Z) \in (\text{suc}(X_{n+2}))^\square$  and  $Z \in \text{clus}(X)$ , we have

$$(\text{ant}(Z_{n+2}))^\square = (\text{ant}(X_{n+2}))^\square. \quad (2.5)$$

Using (2.2), we have  $(\text{ant}(Z_{n+2}))^\square = (\text{ant}(Y_{n+2}))^\square$ , and using Lemma 3.6, we have  $Z_{n+2} \in \text{next}(Z_n)$ . Using (2.4), we have

$$\square\text{for}(Z_{n+2}) \in \text{ant}(\mathbf{n}(X_{n+2}, \text{clus}(X_{n+2}))).$$

Using Lemma 2.3(3), we have  $Z_{n+2} \notin \text{clus}(X_{n+2})$ , which is in contradiction with (2.5).  $\dashv$

**Lemma 3.8** For any  $X \in \mathbf{G}^*(n+1)$ ,

(1)  $\square\text{for}(Y_\ominus) \in \text{suc}(X) \cap \square\text{for}(\mathbf{G}(n) - \mathbf{G}^*(n))$  implies  $\#(\text{next}(Y_\ominus) \cap \text{clus}(X)) = 1$ ,

(2)  $\text{suc}(X) \cap \square\text{for}(\mathbf{G}(n)) \subseteq \square\text{for}(\text{clus}(X(n)) \cup \mathbf{G}^*(n))$ ,

(3) for any  $Y, Z \in \mathbf{G}^*$ ,  $\square\text{for}(Y) \in \text{suc}(X) \cup \square\text{for}(\text{clus}(X))$  and  $Y R_{\mathbf{E}} Z$  imply  $\square\text{for}(Z) \in \text{suc}(X) \cup \square\text{for}(\text{clus}(X))$ .

**Proof.**

For (1). By Lemma 3.2, we have  $\mathbf{n}(Y_\ominus, X) \in \text{next}(Y_\ominus) \cap \mathbf{G}(n+1)$ . Using Lemma 2.4(3), we have  $(\text{ant}(X))^\square \subseteq (\text{ant}(\mathbf{n}(Y_\ominus, X)))^\square$ . Using  $X \in \mathbf{G}^*(n+1)$ , we have  $(\text{ant}(X))^\square = (\text{ant}(\mathbf{n}(Y_\ominus, X)))^\square$ , and therefore,  $\mathbf{n}(Y_\ominus, X) \in \text{clus}(X)$ . Using Lemma 2.9, we obtain (1).

For (2). We note that  $(\text{ant}(X))^\square = (\text{ant}(\mathbf{n}(Y_\ominus, X)))^\square$  implies  $(\text{ant}(X(n)))^\square = (\text{ant}(Y_\ominus))^\square$ . Hence, using the proof of (1), we obtain (2).

For (3). If  $\square\text{for}(Y) \in \text{suc}(X)$ , then by Lemma 2.3(2) and Lemma 2.5, we have  $\square\text{for}(Z) \in \text{suc}(X)$ . If  $Y \in \text{clus}(X)$ , then we have that  $\square\text{for}(Z) \in \text{suc}(Y)$  implies  $\square\text{for}(Z) \in \text{suc}(X)$  and that  $Z \in \text{clus}(Y)$  implies  $Z \in \text{clus}(X)$ .  $\dashv$

**Definition 3.9** Let  $X$  be sequents in  $\mathbf{G}(n+1)$ . Two sets  $\text{suc}(X)^\circ$  and  $\text{suc}(X)^*$  and a number  $\#(X)$  are defined as follows:

$$\text{suc}(X)^\circ = \text{suc}(X) \cap \square\text{for}(\mathbf{G}(n) - \mathbf{G}^*(n)), \quad \text{suc}(X)^* = \text{suc}(X) \cap \square\text{for}(\mathbf{G}^*(n)).$$

$$\#(X) = 2\#(\text{suc}(X_{n+1})^\circ) + \#(\text{suc}(X_{n+1})^*).$$

**Lemma 3.10** For any  $X \in \mathbf{G}(n+1)$ ,

(1)  $\mathbf{n}(X) \in \text{next}(X)$ ,

(2)  $\#(X) > \#(\mathbf{n}(X))$ .

**Proof.**

For (1). It is easily seen that  $\mathbf{n}(X) \in \text{next}^+(X)$ . By Lemma 2.5, it is not hard to see that, for any  $Y_\ominus$ ,  $\square\text{for}(Y_\ominus) \in \text{suc}(X)^\circ$  implies  $(\text{ant}(X))^\square \subseteq (\text{ant}(\mathbf{n}(Y_\ominus, X)))^\square$ , and therefore, we have  $\mathbf{n}(X) \notin \text{prov}_1(X)$ . Also, it is not hard to see that  $\mathbf{n}(X) \notin \text{prov}_2(X)$ . Therefore, by Lemma 2.5, it is sufficient to show  $\mathbf{n}(X) \notin \text{prov}_3(X)$ .

Suppose that  $\mathbf{n}(X) \in \text{prov}_3(X)$ . Then there exist two sequents  $Y'$  and  $Y''$  in  $\mathbf{G}^*(n+1)$  such that  $\square\text{for}(Y') \in \text{ant}(\mathbf{n}(X))$ ,  $\square\text{for}(Y'') \in \text{suc}(\mathbf{n}(X))$  and  $(\text{ant}(Y'))^\square = (\text{ant}(Y''))^\square$ . By Lemma 2.8(2), we have  $\square\text{for}(Y'(n)) \in (\text{suc}(Y'))^\square = (\text{suc}(Y''))^\square$ . Also, by  $\square\text{for}(Y'') \in \text{suc}(\mathbf{n}(X))$ , we have  $\mathbf{n}(Y''(n), X) = Y''$ . Therefore, we have  $\square\text{for}(Y'(n)) \in (\text{suc}(X))^\square$ , and thus,

$$\square\text{for}(\mathbf{n}(Y'(n), X)) \in (\text{suc}(\mathbf{n}(X)))^\square. \quad (1.1)$$

By Lemma 2.3(3), we have  $(\text{suc}(Y'(n)))^\square = (\text{suc}(Y''(n)))^\square$ , and thus,

$$(\text{suc}(\mathbf{n}(Y'(n), X)))^\square = (\text{suc}(\mathbf{n}(Y''(n), X)))^\square = (\text{suc}(Y''))^\square = (\text{suc}(Y'))^\square.$$

Hence,  $\mathbf{n}(Y'(n), X) = Y'$ . Using (1.1),

$$\square\text{for}(Y') \in (\text{suc}(\mathbf{n}(X)))^\square,$$



which is in contradiction with Lemma 2.3(3) and  $\square\mathbf{for}(Y') \in \mathbf{ant}(\mathbf{n}(X))$ .

For (2). By (1), we have

$$\#(\mathbf{suc}(X)^\circ) = \#(\mathbf{suc}(\mathbf{n}(X))^\circ) + \#(\mathbf{suc}(\mathbf{n}(X))^*).$$

Therefore, if  $\mathbf{suc}(X)^* \neq \emptyset$ , then

$$\#(X) = 2\#(\mathbf{suc}(X)^\circ) + \#(\mathbf{suc}(X)^*) > 2\#(\mathbf{suc}(X)^\circ) \geq 2\#(\mathbf{suc}(\mathbf{n}(X))^\circ) + \#(\mathbf{suc}(\mathbf{n}(X))^*) = \#(\mathbf{n}(X)).$$

Suppose that  $\mathbf{suc}(X_{n+1})^* = \emptyset$ . Then we note that there exists a sequent  $Y_\ominus \in \mathbf{G}(n) - \mathbf{G}^*(n)$  such that  $\square\mathbf{for}(Y_\ominus) \in \mathbf{suc}(X)^\circ$  and

$$\begin{aligned} & \{Z_\ominus \in \mathbf{G}(n) \mid \square\mathbf{for}(Z_\ominus) \in \mathbf{suc}(X), (\mathbf{ant}(Y_\ominus))^\square \subseteq (\mathbf{ant}(Z_\ominus))^\square\} \\ &= \{Z_\ominus \in \mathbf{G}(n) \mid \square\mathbf{for}(Z_\ominus) \in \mathbf{suc}(X), (\mathbf{ant}(Y_\ominus))^\square = (\mathbf{ant}(Z_\ominus))^\square\}. \end{aligned}$$

By  $\{Z_\ominus \in \mathbf{G}(n) \mid \square\mathbf{for}(Z_\ominus) \in \mathbf{suc}(X), (\mathbf{ant}(Y_\ominus))^\square = (\mathbf{ant}(Z_\ominus))^\square\} \subseteq \mathbf{clus}(Y_\ominus)$  and Lemma 3.7(1), we have  $\mathbf{n}(Y_\ominus, X) \in \mathbf{G}^*(n+1)$ . Hence,

$$\#(X) = 2\#(\mathbf{suc}(X)^\circ) + \#(\mathbf{suc}(X)^*) = 2\#(\mathbf{suc}(X)^\circ) > 2\#(\mathbf{suc}(\mathbf{n}(X))^\circ) + \#(\mathbf{suc}(\mathbf{n}(X))^*) = \#(\mathbf{n}(X)).$$

⊥

By the above lemma, for any  $X \in \mathbf{G}(n+1) - \mathbf{G}^*(n+1)$ , we have  $\mathbf{n}(X) \in \mathbf{G}(n+2)$ , and therefore, we can define the sequent  $X^*$  as in Theorem 3.5(2).

**Lemma 3.11** For any  $X \in \mathbf{G}(n+1)$ ,

- (1)  $X^* \in X \downarrow \cap \mathbf{G}^*$ ,
- (2) for any  $Y \in \mathbf{ED}^n$ ,  $\square\mathbf{for}(Y) \in \mathbf{suc}(X)$  implies  $\#(Y \downarrow \cap \mathcal{E}(X^*)) = 1$ ,
- (3) for any  $Y \in \mathbf{ED}^n$ ,  $\square\mathbf{for}(Y) \in \mathbf{ant}(X)$  implies  $\#(Y \downarrow \cap \mathcal{E}(X^*)) = 0$ ,

where  $\mathcal{E}(X^*) = \mathbf{G}^* \cap (\{Z \mid \square\mathbf{for}(Z) \in \mathbf{suc}(X^*)\} \cup \mathbf{clus}(X^*))$ .

**Proof.** We use an induction on  $\#(X)$ . Basis( $\#(X) = 2$ ) is included Induction step by the following two reasons:

- in Induction step, we treat the case that  $X \in \mathbf{G}^*(n+1)$ ,
- by Lemma 3.7(2), we have that  $\mathbf{suc}(X) \cap \square\mathbf{for}(\mathbf{G}(n)) = \{\square\mathbf{for}(X(n))\}$  implies  $X \in \mathbf{G}^*(n+1)$ .

Induction step. We divide the cases.

The case that  $X \in \mathbf{G}^*(n+1)$ . We have  $X^* = X$  and (1). Suppose that  $Y \in \mathbf{ED}^n$ . If  $Y \notin \mathbf{G}(n) - \mathbf{G}^*(n)$ , then we have  $\{Y\} = Y \downarrow$ , and therefore, we obtain the following two conditions:

- $\square\mathbf{for}(Y) \in \mathbf{suc}(X)$  implies  $\#(Y \downarrow \cap \mathcal{E}(X)) = 1$ ,
- $\square\mathbf{for}(Y) \in \mathbf{ant}(X)$  implies  $\#(Y \downarrow \cap \mathcal{E}(X)) = 0$ .

We assume that  $Y \in \mathbf{G}(n) - \mathbf{G}^*(n)$ .

We show (2). Suppose that  $\square\mathbf{for}(Y) \in \mathbf{suc}(X)$ . By  $Y \in \mathbf{G}(n) - \mathbf{G}^*(n)$  and Lemma 3.8(2), there exists  $Y_{n+1}$  such that  $\{Y_{n+1}\} = \mathbf{next}(Y) \cap \mathbf{clus}(X)$ . Using the definition of  $\mathbf{G}(n)$  and  $X \in \mathbf{G}^*(n+1)$ , we have  $Y_{n+1} \in \mathbf{G}^*(n+1)$ , and using Lemma 2.9,  $\{Y_{n+1}\} = Y \downarrow \cap \mathcal{E}(X)$ .

We show (3). Suppose that  $\square\mathbf{for}(Y) \in \mathbf{ant}(X)$  and  $Z \in Y \downarrow \cap \mathcal{E}(X)$ . If  $Z \in Y \downarrow \cap \mathbf{G}^* \cap \{Z \mid \square\mathbf{for}(Z) \in \mathbf{suc}(X)\}$ , then by  $Y \in \mathbf{G}(n) - \mathbf{G}^*(n)$ , we have  $Z \in Y \downarrow \cap \mathbf{G}^*(n) = \emptyset$ . We assume that  $Z \in Y \downarrow \cap \mathbf{G}^* \cap \mathbf{clus}(X)$ . Then using the definition of  $\mathbf{G}(n)$ , we have  $Z \in \mathbf{G}^*(n+1)$ . Using Lemma 2.8,  $\square\mathbf{for}(Y) = \square\mathbf{for}(Z(n)) \in (\mathbf{suc}(Z))^\square = (\mathbf{suc}(X))^\square$ , which is in contradiction with  $\square\mathbf{for}(Y) \in \mathbf{ant}(X)$  and Lemma 2.3(3).

The case that  $X \notin \mathbf{G}^*(n+1)$ . By Lemma 3.10, we have  $\mathbf{n}(X) \in \mathbf{G}(n+2)$  and  $\#(X) > \#(\mathbf{n}(X))$ . Also, we have  $X^* = (\mathbf{n}(X))^*$ . Therefore, using the induction hypothesis, the following three conditions hold:

- (4)  $X^* \in \mathbf{n}(X) \downarrow \cap \mathbf{G}^*$ ,
- (5) for any  $Y \in \mathbf{ED}^{n+1}$ ,  $\square\mathbf{for}(Y) \in \mathbf{suc}(\mathbf{n}(X))$  implies  $\#(Y \downarrow \cap \mathcal{E}(X^*)) = 1$ ,

(6) for any  $Y \in \mathbf{ED}^{n+1}$ ,  $\Box\mathbf{for}(Y) \in \mathbf{ant}(\mathbf{n}(X))$  implies  $\#(Y \Downarrow \cap \mathcal{E}(X^*)) = 0$ .  
 By Lemma 3.10(1) and (4), we have (1).

We show (2). Suppose that  $\Box\mathbf{for}(Y) \in \mathbf{suc}(X) \cap \Box\mathbf{for}(\mathbf{ED}^n)$ . If  $Y \in \mathbf{G}^*$ , then we have  $\Box\mathbf{for}(Y) \in \mathbf{suc}(\mathbf{n}(X)) \cap \Box\mathbf{for}(\mathbf{ED}^{n+1})$ , and using (5), we obtain (2). We assume that  $Y \in \mathbf{G}(n) - \mathbf{G}^*(n)$ . Then we have  $\mathbf{next}(Y) \cap \{Z \mid \Box\mathbf{for}(Z) \in \mathbf{suc}(\mathbf{n}(X))\} = \{\mathbf{n}(Y, X)\}$ , and using (5), we obtain  $\#(\mathbf{n}(Y, X) \Downarrow \cap \mathcal{E}(X^*)) = 1$ . On the other hand, by Lemma 2.3(3), we have

$$Y \Downarrow \cap \mathcal{E}(X^*) = \left( \bigcup_{Y_{n+1} \in \mathbf{next}(Y), \Box\mathbf{for}(Y_{n+1}) \in \mathbf{ant}(\mathbf{n}(X))} (Y_{n+1} \Downarrow \cap \mathcal{E}(X^*)) \right) \cup (\mathbf{n}(Y, X) \Downarrow \cap \mathcal{E}(X^*)).$$

Using (6), we obtain (2).

We show (3). Suppose that  $\Box\mathbf{for}(Y) \in \mathbf{ant}(X) \cap \Box\mathbf{for}(\mathbf{ED}^n)$ . Then similarly to the proof of (2), we can assume that  $Y \in \mathbf{G}(n) - \mathbf{G}^*(n)$ . Then we have  $\Box\mathbf{for}(\mathbf{next}(Y)) \subseteq \mathbf{ant}(\mathbf{n}(X))$ , and using (6), we obtain (3). ⊣

From Lemma 3.10, Lemma 3.8(3) and Lemma 3.11, we obtain Theorem 3.5(2).

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