

# A Forcing Axiom for The Second Uncountable Cardinal Must Fail

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## Abstract

The Forcing Axiom for the p.o. sets which are  $\sigma$ -closed,  $\omega_2$ -Baire and preserve the stationary subsets of  $\omega_2$  with  $\omega_2$ -many dense subsets must fail. This is a straightforward simplification of a construction due to S. Shelah.

## Introduction

We consider Forcing Axioms for the second uncountable cardinal. For positive answers, we may consult [B], [S1] or [W]. We summarize the failure in this context. Recall that a notion of forcing is  $\omega_2$ -Baire, if it adds no new sequences of ordinals of length  $\omega_1$  to the ground model. The following is known.

**Theorem.** (p. 856 in [W]) (CH) The Forcing Axiom for the p.o. sets which are  $\sigma$ -closed and  $\omega_1$ -centered with  $\omega_2$ -many dense subsets must fail.

CH used to show the stronger form of the  $\omega_2$ -c.c. The following is a very specific case among others in [S2].

**Theorem.** ([S2]) (CH is not assumed) The Forcing axiom for the p.o. sets which are  $\sigma$ -closed,  $\omega_2$ -Baire and preserve the stationary subsets of  $\omega_2$  with  $\omega_2$ -many dense subsets must fail.

Main set theoretic structures in the construction of [S2] are what are termed witnesses and strong witnesses. We observe that it suffices to consider  $\square_{\omega_1}$  instead of those objects in the specific case of  $\omega_2$ . More specifically,

- (1) If  $\square_{\omega_1}$  fails, then the Forcing Axiom for the notion of p.o. set to force a generic  $\square_{\omega_1}$ -sequence via the possible initial segments must fail. It is well-known that the p.o. set is  $\sigma$ -closed,  $\omega_2$ -Baire and preserves the stationary subsets of  $\omega_2$ .
- (2) If  $\square_{\omega_1}$  holds, then we may turn it into a stronger one (see lemma below) and consider a p.o. set which forces a counter example to this stronger property to fail. This notion of forcing turns out to be in the same category as above.
- (3) Hence either (1) or (2), we have the failure of this type of Forcing Axiom.

It appears that the main new point here is that we use  $\square_{\omega_1}$  instead of CH. This situation is somewhat analogous to the constructions of  $\omega_2$ -Souslin trees. Namely, one may construct assuming CH together with  $\diamond_{\omega_2}(S_1^2)$ , while the others may use  $\square_{\omega_1}$  and  $\diamond_{\omega_2}(S_1^2)$ . (see pp. 140-143 in [D])

We appreciate a series of talks by [F] on this subject in the Set Theory Seminar, Nagoya University, May through July, 2002.

## §1. Turning the $\square_{\omega_1}$ -sequences into stronger ones

In this section we formulate a stronger form of  $\square_{\omega_1}$ . This corresponds to a strong witness of [S2]. Given any club  $E$  of  $\omega_2$ , a strong  $\square_{\omega_1}$ -sequence  $\mathcal{C} = \langle C_\delta \mid \delta \in \text{limit} \cap \omega_2 \rangle$  would capture  $E$  in a specific manner at quite many  $C_\delta$  with  $\delta \in S_1^2$ . The manner  $C_\delta$  captures  $E$  is that  $C_\delta \setminus \text{acc}(C_\delta)$  hits  $E$  cofinally often below

$\delta$ . Since  $\delta \in S_1^2$ , it is necessary that  $\text{acc}(C_\delta)$  hits  $E$  club often below  $\delta$  as long as  $\delta \in \text{acc}(E)$ , though. Here  $\text{acc}(C_\delta)$  denotes the accumulation points of  $C_\delta$ .

**1.1 Definition.**  $\mathcal{C} = \langle C_\delta \mid \delta \in \text{limit} \cap \omega_2 \rangle$  is a  $\square_{\omega_1}$ -sequence, if

- $C_\delta$  is a closed unbounded subset of  $\delta$ ,
- If  $\text{cf}(\delta) < \omega_1$ , then  $\text{o.t.}(C_\delta) < \omega_1$ ,
- If  $\alpha \in \text{acc}(C_\delta)$ , then  $C_\alpha = C_\delta \cap \alpha$ ,

**1.2 Definition.** Let  $\mathcal{C} = \langle C_\delta \mid \delta \in \text{limit} \cap \omega_2 \rangle$  be a  $\square_{\omega_1}$ -sequence.

$\mathcal{C}$  is a *strong*  $\square_{\omega_1}$ -sequence, if for any club  $E \subseteq \omega_2$ , the following is stationary in  $\omega_2$

$$\{\delta \in S_1^2 \mid \sup\{\alpha \in C_\delta \mid \text{succ}_{C_\delta}(\alpha) \in E\} = \delta\}$$

where,  $\text{succ}_{C_\delta}(\alpha)$  denotes the least member of  $C_\delta$  strictly above  $\alpha \in C_\delta$ . Namely, the next element of  $\alpha$  in  $C_\delta$ .

The following entails that we once have a  $\square_{\omega_1}$ -sequence  $\mathcal{C}$ , then we may assume that it is strong.

**1.3 Lemma.** Let  $\mathcal{C} = \langle C_\delta \mid \delta \in \text{limit} \cap \omega_2 \rangle$  be a  $\square_{\omega_1}$ -sequence. Then there exists a club  $E^* \subseteq \omega_2$  such that for any club  $E \subseteq \omega_2$ , the following is stationary in  $\omega_2$ .

$$\{\delta \in S_1^2 \mid \sup\{\alpha \in C_\delta \mid \alpha < \sup(E^* \cap \text{succ}_{C_\delta}(\alpha)) \in E\} = \delta\}$$

*Proof.* By contradiction. Suppose not and construct  $\langle E_n^* \mapsto E_n \mid n < \omega \rangle$  such that

- (1)  $E_0^* = \omega_2$ ,
- (2)  $E_n^* \mapsto E_n$  are clubs in  $\omega_2$  such that the following is non-stationary.

$$A_n = \{\delta \in S_1^2 \mid \sup\{\alpha \in C_\delta \mid \alpha < \sup(E_n^* \cap \text{succ}_{C_\delta}(\alpha)) \in E_n\} = \delta\}.$$

- (3)  $E_{n+1}^* \subset \text{acc}(E_n \cap E_n^*)$  and  $E_{n+1}^* \cap A_n = \emptyset$ .

In particular,

$$E_{n+1}^* \subset E_n^* \text{ and } E_{n+1}^* \subset E_n.$$

Define clubs  $E^*$  and  $E^{**}$  in  $\omega_2$  as follows;

$$E^* = \bigcap \{E_n^* \mid n < \omega\},$$

and

$$E^{**} = \text{acc}(E^* \cap S_1^2).$$

Take

$$\delta^* \in S_1^2 \cap E^{**}.$$

Since  $\text{o.t.}(C_{\delta^*}) = \omega_1$ , notice that  $(E^* \cap S_1^2 \cap \delta^*) \subset (\delta^* \setminus \text{acc}(C_{\delta^*}))$  is cofinal in  $\delta^*$ . And for any  $n < \omega$ , we have

$$\delta^* \in E_{n+1}^* \text{ and so } \delta^* \notin A_n.$$

Define

$$\beta_n = \text{Max}\{\text{Min}(C_{\delta^*}), \sup\{\alpha \in C_{\delta^*} \mid \alpha < \sup(E_n^* \cap \text{suc}_{C_{\delta^*}}(\alpha)) \in E_n\}\} < \delta^*$$

so that

$$\beta_n \in C_{\delta^*}.$$

Let

$$\beta^* = \sup\{\beta_n \mid n < \omega\} \in C_{\delta^*}.$$

Pick any  $\gamma^*$  such that

$$\text{suc}_{C_{\delta^*}}(\beta^*) < \gamma^* \in \delta^* \cap E^* \cap S_1^2.$$

Take  $\zeta^*$  so that

$$\text{suc}_{C_{\delta^*}}(\beta^*) \leq \zeta^* = \text{Max}(C_{\delta^*} \cap \gamma^*) \in C_{\delta^*}.$$

Since  $\gamma^* \in S_1^2$ , we have

$$\zeta^* < \gamma^*.$$

Let

$$\xi^* = \text{suc}_{C_{\delta^*}}(\zeta^*).$$

Then by the definition of  $\zeta^*$ , we have

$$\gamma^* \leq \xi^*.$$

**Case 1.**  $\gamma^* < \xi^*$ : Fix any  $n < \omega$ . Since  $\gamma^* \in E^* \subset E_n^*$ , we have

$$\zeta^* < \sup(E_n^* \cap \xi^*).$$

But  $\beta_n < \zeta^*$ , so

$$\sup(E_n^* \cap \xi^*) \notin E_n.$$

But  $E_{n+1}^* \subset E_n$ , so

$$\sup(E_n^* \cap \xi^*) \notin E_{n+1}^*.$$

Since  $E_{n+1}^* \subset E_n^*$ , we conclude

$$\gamma^* \leq \sup(\xi^* \cap E_{n+1}^*) < \sup(\xi^* \cap E_n^*).$$

Therefore, we have a strictly descending infinite sequence of ordinals. This is a contradiction.

**Case 2.**  $\gamma^* = \xi^* = \text{suc}_{C_{\delta^*}}(\zeta^*)$ : Take any  $n < \omega$ . Since  $\gamma^* \in E^* \subset E_{n+1}^* \subset \text{acc}(E_n^*)$ , we have

$$\zeta^* < \sup(E_n^* \cap \xi^*) = \xi^* = \gamma^* \in E_n.$$

Hence by the definition of  $\beta_n$ , we have

$$\zeta^* < \beta_n.$$

This is a contradiction. □

We may always turn a given  $\square_{\omega_1}$ -sequence into a stronger one.

**1.4 Lemma.** Let  $\mathcal{C}$  and  $E^*$  be as in the previous lemma. We set

$$C'_\delta = C_\delta \cup \{\beta \mid \alpha \in C_\delta, \alpha < \beta = \sup(E^* \cap \text{suc}_{C_\delta}(\alpha))\}.$$

Then  $\mathcal{C}' = \langle C'_\delta \mid \delta \in \text{limit} \cap \omega_2 \rangle$  is a strong  $\square_{\omega_1}$ -sequence.

*Proof.* We need to check the following.

- (1)  $C'_\delta$  is a club in  $\delta$ ,
- (2) If  $\text{cf}(\delta) < \omega_1$ , then  $\text{o.t.}(C'_\delta) < \omega_1$ ,
- (3) If  $\alpha \in \text{acc}(C'_\delta)$ , then  $C'_\alpha = C'_\delta \cap \alpha$ ,

And

- (4) For any club  $E \subseteq \omega_2$ , if  $\alpha \in C_\delta$  and  $\alpha < \sup(E^* \cap \text{suc}_{C_\delta}(\alpha)) \in E$ , then  $\alpha \in C'_\delta$  and  $\text{suc}_{C'_\delta}(\alpha) = \sup(E^* \cap \text{suc}_{C_\delta}(\alpha)) \in E$ .

They are mostly routine to check and left to the reader. □

## § 2. Forcing a counter-example to make sure a given $\square_{\omega_1}$ -sequence non-strong

While any  $\square_{\omega_1}$ -sequence  $\mathcal{C}$  would produce to a strong one  $\mathcal{C}'$ , we may force a club of  $\omega_2$  to make sure that  $\mathcal{C}$  is not strong in the generic extensions.

**2.1 Definition.** Let  $\langle C_\alpha \mid \alpha \in \text{limit} \cap \omega_2 \rangle$  be a  $\square_{\omega_1}$ -sequence. We define  $p \in P$ , if

- (1)  $p$  is a closed bounded subset of  $\omega_2$ ,
- (2) For any  $\delta \in \text{acc}(p) \cap S_1^2$  there exists  $\bar{\delta} < \delta$  such that  $(p \cap \delta) \setminus \bar{\delta} \subset \text{acc}(C_\delta) \cup (\delta \setminus C_\delta)$ .

For  $p_1, p_2 \in P$ , we set  $p_2 \leq p_1$ , if  $p_2$  end-extends  $p_1$ .

**2.2 Lemma.** (1)  $P$  is  $\sigma$ -closed.

- (2)  $P$  is  $\omega_2$ -Baire.

*Proof.* For (1): Let  $\langle p_n \mid n < \omega \rangle$  be a descending sequence in  $P$ . For each  $n < \omega$ , let  $\alpha_n = \sup p_n$ . We may assume the  $\alpha_n$ 's are strictly increasing. Let  $\alpha = \sup\{\alpha_n \mid n < \omega\}$  and let  $q = \bigcup\{p_n \mid n < \omega\} \cup \{\alpha\}$ . Since  $\alpha \in S_0^2$ , we have  $q \in P$  and so  $q$  is a lower bound of the  $p_n$ 's.

For (2): Let  $p \Vdash_P \dot{f} : \omega_1 \longrightarrow V$ . We want to find  $q \leq p$  such that  $q \Vdash \dot{f}$ . To this end let  $\theta$  be a sufficiently large regular cardinal and  $\langle N_i \mid i \leq \omega_1 \rangle$  be a sequence such that

- $N_i$  is an elementary substructure of  $H_\theta$ ,  $|N_i| = \omega_1$ ,  $N_i \cap \omega_2 < \omega_2$  and for any  $i$  which is non-limit, we demand  $N_i \cap \omega_2 \in S_1^2$ ,
- $\langle N_k \mid k \leq i \rangle \in N_{i+1}$  and the  $N_i$ 's are continuously increasing,
- In particular, the  $N_i \cap \omega_2$ 's are strictly increasing and forms a club in  $\omega_2$ ,
- $N_0$  contains every relevant parameters.

For each  $i < \omega_1$ , let

$$\delta_i = N_i \cap \omega_2 \text{ and } \delta = \sup\{\delta_i \mid i < \omega_1\} = N_{\omega_1} \cap \omega_2 \in S_1^2.$$

And let

$$W = \{i < \omega_1 \mid N_i \cap \omega_2 \in \text{acc}(C_\delta)\} \subset S_0^2.$$

We have

$$\text{If } i \in W, \text{ then } W \cap (i+1) \in N_{i+1}.$$

This is because  $\delta_i \in \text{acc}(C_\delta)$  and so  $C_{\delta_i} = C_\delta \cap \delta_i$ . Hence

$$W \cap i = \{k < i \mid \delta_k \in \text{acc}(C_\delta)\} = \{k < i \mid \delta_k \in \text{acc}(C_{\delta_i})\}.$$

Since  $\langle N_k \mid k \leq i \rangle, C_{\delta_i}$  are in  $N_{i+1}$  and since  $i \in N_{i+1}$ , we conclude  $W \cap (i+1) \in N_{i+1}$ .

We build  $\langle p_i \mid i \in W \rangle$  by recursion on  $i$  so that

- For  $i_0 = \text{Min}(W)$ , we set  $p_{i_0} = F(p, \text{sup}(C_\delta \cap \delta_{i_0+1}) + 1, 0)$ ,
- For  $i \in W$  such that  $j = \text{Max}(W \cap i) < i$ , we set  $p_i = F(p_j, \text{sup}(C_\delta \cap \delta_{i+1}) + 1, \text{o.t.}(W \cap i))$ ,
- For  $i \in \text{acc}(W)$ , we set  $p_i = \bigcup \{p_k \mid k \in W \cap i\} \cup \{\text{sup}(\bigcup \{p_k \mid k \in W \cap i\})\}$ .

Here,  $F : P \times \omega_2 \times \omega_1 \longrightarrow P$  such that for  $(p, \xi, i)$  with  $\text{sup } p < \xi$ , if we let  $q = F(p, \xi, i)$ , then

$$q \leq p \cup \{\xi\} \text{ and } q \parallel \dot{f}(i).$$

We may assume that  $F \in N_0$ .

**Claim.** We have 4 items in accordance with the recursive construction.

$(i = i_0)$ : For  $i_0 = \text{Min}(W)$ , we have

- $p_{i_0} \in P$ ,
- $\delta_{i_0} < \text{sup } p_{i_0}$ ,
- $p_{i_0} \in N_{i_0+1}$ ,
- $p_{i_0} \parallel \dot{f}(0)$ ,
- $p_{i_0} \leq p$ ,
- $(p_{i_0} \setminus p) \cap C_\delta = \emptyset$ ,

$(i \text{ is the successor of } j \text{ in } W)$ : For  $i \in W$  with  $j = \text{Max}(W \cap i) < i$ , we have

- $p_i \in P$ ,
- $\delta_i < \text{sup } p_i$ ,
- $p_i \in N_{i+1}$ ,
- $p_i \parallel \dot{f}(\text{o.t.}(W \cap i))$ ,
- $p_i \leq p_j$ ,
- $(p_i \setminus p_j) \cap C_\delta = \emptyset$ ,

- $\langle p_k \mid k \in W \cap (i+1) \rangle \in N_{i+1}$ ,

( $i \in \text{acc}(W)$ ): For  $i \in \text{acc}(W)$ , we have

- $p_i \in P$ ,
- For all  $k \in W \cap i$ ,  $p_i \leq p_k$ ,
- $\sup p_i = \delta_i \in \text{acc}(C_\delta)$ ,
- $\langle p_k \mid k \in W \cap (i+1) \rangle \in N_{i+1}$ ,

(conclusion): Let  $q = \bigcup \{p_i \mid i \in W\} \cup \{\delta\}$ , then  $q \in P$ ,  $q \leq p$  and  $q \parallel \dot{f}$ .

*Proof.* By induction on  $i \in W$ .

( $i = i_0$ ): Since  $p \in N_0 \subset N_{i_0}$ , we have  $\sup p < \delta_{i_0} \in C_\delta$  and so

$$\delta_{i_0} \leq \sup(C_\delta \cap \delta_{i_0+1}) < \sup(C_\delta \cap \delta_{i_0+1}) + 1 < \delta_{i_0+1} \in S_1^2.$$

Hence  $p_{i_0} \in P$ ,  $\delta_{i_0} < \sup p_{i_0}$ ,  $p_{i_0} \parallel \dot{f}(0)$ ,  $p_{i_0} \leq p$ ,  $(p_{i_0} \setminus p) \cap C_\delta = \emptyset$  and  $p_{i_0} \in N_{i_0+1}$ .

( $i$  is the successor of  $j$  in  $W$ ): We have  $\langle p_k \mid k \in W \cap (j+1) \rangle \in N_{j+1}$ . Since  $p_j \in N_{j+1} \subset N_i$ , we have  $\sup p_j < \delta_i \in C_\delta$ . And so

$$\delta_i \leq \sup(C_\delta \cap \delta_{i+1}) < \sup(C_\delta \cap \delta_{i+1}) + 1 < \delta_{i+1} \in S_1^2.$$

Hence  $p_i \in P$ ,  $\delta_i < \sup p_i$ ,  $p_i \parallel \dot{f}(\text{o.t.}(W \cap i))$ ,  $p_i \leq p_j$ ,  $(p_i \setminus p_j) \cap C_\delta = \emptyset$  and  $p_i \in N_{i+1}$ . And so  $\langle p_k \mid k \in W \cap (i+1) \rangle \in N_{i+1}$ .

( $i \in \text{acc}(W)$ ): We have constructed  $\langle p_k \mid k \in W \cap i \rangle$ . We observe that  $\langle p_k \mid k \in W \cap i \rangle$  is definable from parameters which are all in  $N_{i+1}$ . And so we would have

$$\langle p_k \mid k \in W \cap i \rangle \in N_{i+1}.$$

Some details follow. Notice first  $\delta_i \in \text{acc}(C_\delta)$  and so

$$C_{\delta_i} = C_\delta \cap \delta_i.$$

Since both  $\langle N_k \mid k \leq i \rangle$  and  $W \cap (i+1)$  are in  $N_{i+1}$ , we have

$$\langle N_{k+1} \mid k \in W \cap i \rangle \in N_{i+1}.$$

Since  $C_{\delta_i}$  is in  $N_{i+1}$ , we have

$$\langle \sup(C_\delta \cap \delta_{k+1}) \mid k \in W \cap i \rangle = \langle \sup(C_{\delta_i} \cap N_{k+1}) \mid k \in W \cap i \rangle \in N_{i+1}.$$

Note that  $\langle p_k \mid k \in W \cap i \rangle$  is definable in  $H_\theta$  from  $W \cap i$ ,  $F$ ,  $p$  and  $\langle \sup(C_\delta \cap \delta_{k+1}) \mid k \in W \cap i \rangle$  as follows;

- For  $k_0 = \text{Min}(W \cap i)$ ,  $p_{k_0} = F(p, \sup(C_\delta \cap \delta_{k_0+1}) + 1, 0)$ ,
- For  $k \in W \cap i$  with  $j = \text{Max}((W \cap i) \cap k) < k$ ,  $p_k = F(p_j, \sup(C_\delta \cap \delta_{k+1}) + 1, \text{o.t.}((W \cap i) \cap k))$ ,
- For  $k \in \text{acc}(W \cap i)$ ,  $p_k = \bigcup \{p_{\bar{k}} \mid \bar{k} \in (W \cap i) \cap k\} \cup \{\sup(\bigcup \{p_{\bar{k}} \mid \bar{k} \in (W \cap i) \cap k\})\}$ .

We have  $\langle p_k \mid k \in W \cap i \rangle \in N_{i+1}$ .

Let  $p_i = \bigcup\{p_k \mid k \in (W \cap i)\} \cup \{\sup(\bigcup\{p_k \mid k \in (W \cap i)\})\}$ . We know  $p_i \in N_{i+1}$  and  $\langle p_k \mid k \in W \cap (i+1) \rangle \in N_{i+1}$ . Since  $\delta_k < \sup p_k < \delta_{k+1}$  for all  $k \in (W \cap i) \setminus \text{acc}(W)$ , we know  $p_i$  as defined is a bounded closed set. Since  $\sup p_i = \delta_i \in \text{acc}(C_\delta) \cap S_0^2$ , we have  $p_i \in P$ .

For (conclusion): Since  $\delta_i < \sup p_i < \delta_{i+1}$  for  $i \in W \setminus \text{acc}(W)$ , we see that  $q$  as defined is a closed subset of  $\omega_2$  with  $\sup q = \delta$ . To see  $q \in P$ , we may check that

$$q \setminus p \subset \text{acc}(C_\delta) \cup (\delta \setminus C_\delta).$$

But this holds by construction. Since  $q$  sits below every  $p_i$ , we have  $q \leq p$  and  $q \parallel \dot{f}$ . □

### 2.3 Lemma. $P$ preserves every stationary subset of $\omega_2$ .

*Proof.* Since  $P$  is  $\sigma$ -closed,  $P$  is proper. Hence  $P$  preserves every stationary subset of  $S_0^2$ . Therefore we need to take care of stationary subsets  $T$  with  $T \subseteq S_1^2$ .

Let  $T \subseteq S_1^2$  be stationary and  $p \Vdash_P \text{“}\dot{f} : \omega_2 \longrightarrow \omega_2\text{”}$ . We want to find  $q \leq p$  and  $\delta \in T$  such that  $q \Vdash_P \text{“}\forall \alpha < \delta \dot{f}(\alpha) < \delta\text{”}$ . To this end let  $\theta$  be a sufficiently large regular cardinal and  $\langle N_i \mid i < \omega_2 \rangle$  be a continuously increasing sequence of elementary substructures of  $H_\theta$  such that

- $|N_i| = \omega_1$  and  $\delta_i = N_i \cap \omega_2 < \omega_2$ .
- If  $i = 0$  or  $i$  is a successor, then  $\delta_i \in S_1^2$ .
- $\langle N_i \mid i \leq j \rangle \in N_{j+1}$ .

Take  $i^* \in S_1^2$  such that

- $\delta^* = \delta_{i^*} = N_{i^*} \cap \omega_2 \in T \subseteq S_1^2$ .

Let

$$W^* = \{i < i^* \mid \delta_i \in \text{acc}(C_{\delta^*})\} \subset S_0^2.$$

**Claim 1.** (1) The order type of  $W^*$  is exactly  $\omega_1$ .

(2) If  $i \in W^*$ , then  $W^* \cap (i+1) \in N_{i+1}$ .

*Proof.* For (1): Since  $\delta^* \in S_1^2$ , we know that  $\langle \delta_i \mid i < i^* \rangle$  is a club in  $\delta^*$  and so is  $\text{acc}(C_{\delta^*})$ . Hence  $\text{o.t.}(W^*) = \omega_1$ .

For (2): This is where we need  $\square_{\omega_1}$ . Since  $i \in W^*$ , we have

$$C_{\delta_i} = C_{\delta^*} \cap \delta_i.$$

Then for any  $k < i$ , we have  $k \in W^*$  iff  $\delta_k \in \text{acc}(C_{\delta^*})$  iff  $\delta_k \in \text{acc}(C_{\delta_i})$  iff  $\langle N_k \mid k \leq i \rangle \cap \omega_2 \in \text{acc}(C_{\delta_i})$ . But  $\langle N_k \mid k \leq i \rangle \cap \omega_2 = C_{N_i \cap \omega_2}$  are all in  $N_{i+1}$ . Hence  $W^* \cap (i+1) = (W^* \cap i) \cup \{i\} \in N_{i+1}$ . □

We have seen that  $P$  adds no new sequences of ordinals of length less than  $\omega_2$ . So we may construct  $\langle p_i \mid i \in W^* \rangle$  so that

- For  $i_0 = \text{Min}(W^*)$ , let  $p_{i_0} = K(p, \sup(C_{\delta^*} \cap \delta_{i_0+1}) + 1, \delta_0)$ ,
- For  $i$  with  $i > j = \text{Max}(W^* \cap i)$ , let  $p_i = K(p_j, \sup(C_{\delta^*} \cap \delta_{i+1}) + 1, \delta_i)$ ,
- For  $i \in \text{acc}(W^*)$ , let  $p_i = \bigcup\{p_k \mid k \in W^* \cap i\} \cup \{\delta_i\}$ ,

where,

$$K : P \times \omega_2 \times \omega_2 \longrightarrow P$$

such that for  $(a, \xi, \eta)$  with  $\sup(a) < \xi < \omega_2$ , if we write  $q = K(a, \xi, \eta)$ , then  $q \leq a \cup \{\xi\}$  and  $q$  decides  $\dot{f} \upharpoonright \eta$ .

We may assume that  $K \in N_0$ .

**Claim 2.** For  $i_0 = \text{Min}(W^*)$ , we have

- $p_{i_0} \in P$ ,
- $\delta_{i_0} < \sup(p_{i_0})$ ,
- $p_{i_0} \in N_{i_0+1}$ ,
- $p_{i_0} \Vdash_P \text{“}\dot{f} \upharpoonright \delta_{i_0} = f \upharpoonright \delta_{i_0}\text{”}$  for some (abusive notation)  $f \upharpoonright \delta_{i_0} \in N_{i_0+1}$ ,
- $p_{i_0} \leq p$ ,
- $(p_{i_0} \setminus p) \cap C_{\delta^*} = \emptyset$ .

*Proof.* Note  $K, p \in N_0 \subset N_{i_0+1}$ . Also note that  $\sup(C_{\delta^*}) \cap \delta_{i_0+1} < \delta_{i_0+1}$  and so  $\sup(C_{\delta^*}) \cap \delta_{i_0+1} \in N_{i_0+1}$ . Hence  $p_{i_0} \in N_{i_0+1}$ . The rest is more or less explicit in the definition of  $p_{i_0+1}$ . □

**Claim 3.** For  $i > j = \text{Max}(W^* \cap i)$ , we inductively suppose

- $\langle p_k \mid k \in W^* \cap (j+1) \rangle \in N_{j+1} \subseteq N_i \subset N_{i+1}$ .
- In particular,  $p_j \in N_{j+1} \subseteq N_i$  holds.

Then we have

- $p_i \in P$ ,
- $\delta_i < \sup(p_i)$ ,
- $p_i \in N_{i+1}$ ,
- $p_i \Vdash_P \text{“}\dot{f} \upharpoonright \delta_i = f \upharpoonright \delta_i\text{”}$  for some (abusive notation)  $f \upharpoonright \delta_i \in N_{i+1}$ ,
- $p_i \leq p_j$ ,
- $(p_i \setminus p_j) \cap C_{\delta^*} = \emptyset$ ,
- $\langle p_k \mid k \in W^* \cap (i+1) \rangle \in N_{i+1}$ .

*Proof.* Since  $i \in W^*$ , we have  $W^* \cap i \in N_{i+1}$ . Hence  $\text{o.t.}(W^* \cap i) \in N_{i+1}$ . Since  $\delta_i < \sup(C_{\delta^*} \cap \delta_{i+1}) + 1 \in N_{i+1}$  as well, we have  $p_i = K(p_j, \sup(C_{\delta^*} \cap \delta_{i+1}) + 1, \text{o.t.}(W^* \cap i)) \in N_{i+1}$ . Hence  $\langle p_k \mid k \in W^* \cap (i+1) \rangle = \langle p_k \mid k \in W^* \cap (j+1) \rangle \cup \{(i, p_i)\} \in N_{i+1}$ . □

**Claim 4.** For  $i \in \text{acc}(W^*)$ , we have

- $p_i \in P$ ,
- For all  $k \in W^* \cap i$ ,  $p_i \leq p_k$ ,
- $\sup(p_i) = \delta_i \in \text{acc}(C_{\delta^*})$ ,
- $\langle p_k \mid k \in W^* \cap (i+1) \rangle \in N_{i+1}$ .



*Proof.* For  $k \in W^* \cap i$ , we inductively have  $p_k \in N_{k+1}$  and  $\delta_k < \sup(p_k)$ . Hence  $\delta_k < \sup(p_k) < \delta_{k+1}$ . Since  $i \in \text{acc}(W^*)$ , we conclude

$$\sup\{\sup(p_k) \mid k \in W^* \cap i\} = \sup\{\delta_k \mid k \in W^* \cap i\} = \delta_i.$$

Since  $\text{cf}(\delta_i) = \omega$ , we have  $p_i \in P$ .

$\langle p_k \mid k \in W^* \cap i \rangle$  is definable as follows.

- For  $k_0 = \text{Min}(W^* \cap i)$ ,  $p_{k_0} = K(p, \sup(C_{\delta_i} \cap \delta_{k_0+1}) + 1, \delta_{k_0})$ ,
- For  $k > j = \text{Max}((W^* \cap i) \cap k)$ ,  $p_k = K(p_j, \sup(C_{\delta_i} \cap \delta_{k+1}) + 1, \delta_k)$ ,
- For  $k \in \text{acc}(W^* \cap i)$ ,  $p_k = \bigcup\{p_{\bar{k}} \mid \bar{k} \in (W^* \cap i) \cap k\} \cup \{\delta_k\}$ .

This is in terms of  $K$ ,  $W^* \cap i$ ,  $\langle N_k \mid k \in W^* \cap i \rangle$ ,  $\mathcal{C} = \langle C_\delta \mid \delta \text{ is limit and } \delta < \omega_2 \rangle$  and  $C_{\delta_i}$  which are all in  $N_{i+1}$ . Hence  $\langle N_k \mid k \in W^* \cap i \rangle \in N_{i+1}$ . For this definability, we use the  $\square_{\omega_1}$ -ness of  $\mathcal{C}$ . □

Now let  $q = \bigcup\{p_k \mid k \in W^*\} \cup \{\delta^*\}$ . Then this  $q$  is closed, as

$$\delta_k < \sup(p_k) < \delta_{k+1}.$$

And  $q \in P$ , as  $q \setminus p \subset \text{acc}(C_{\delta^*}) \cup (\delta^* \setminus C_{\delta^*})$ . Since  $p_k \Vdash_P \dot{f}[\delta_k = f[\delta_k]$  with  $f[\delta_k \in N_{k+1}$ , we conclude  $q \Vdash_P \forall \alpha < \delta^* \dot{f}(\alpha) < \delta^*$ . □

**2.4 Lemma.**  $P$  adds a club  $E \subset \omega_2$  such that  $\langle C_\alpha \mid \alpha \in \text{limit} \cap \omega_2 \rangle$  is non-strong due to  $E$ . Namely,

$$\forall \delta \in \text{acc}(E) \cap S_1^2 \{ \alpha \in C_\delta \mid \text{succ}_{C_\delta}(\alpha) \in E \} \text{ is bounded below } \delta.$$

*Proof.* We design  $P$  so that this holds. Let  $E = \bigcup G$ , where  $G$  is a  $P$ -generic filter over  $V$ . Then we have

$$\forall \delta \in \text{acc}(E) \cap S_1^2 \exists \bar{\delta} < \delta \text{ such that } E \cap (\delta \setminus \bar{\delta}) \subset (\delta \setminus C_\delta) \cup \text{acc}(C_\delta).$$

□

Accordingly we have

**2.5 Theorem.** The forcing Axiom for the following class of p.o. sets  $\mathcal{P}$  with  $\omega_2$ -many dense subsets fails, where

$\mathcal{P}$  contains

- The notion of forcing to force  $\square_{\omega_1}$  via the initial segments,
- The notions of forcing to kill the strongness of all  $\square_{\omega_1}$ -sequences, if any.

□

**2.6 Note.** (CH) We may directly force a generic strong  $\square_{\omega_1}$ -sequence via countable conditions.

**Question 1.** Give a single p.o. set which is  $\sigma$ -closed,  $\omega_2$ -Baire, preserves the stationary subsets of  $\omega_2$  so that the Forcing Axiom with  $\omega_2$ -many dense subsets fails. Does  $< \omega_2$ -support product of the above p.o. sets work ?

**Question 2.** Is it easy to generalize the argument in this note to higher cardinals ? Do we really need witnesses and strong witnesses of [S2] ?

**Question 3.** Does a non-reflecting stationary set  $S \subset S_0^2 = \{\alpha < \omega_2 \mid \text{cf}(\alpha) = \omega\}$  of any sort suffice to replace  $\square_{\omega_1}$ -sequence in the present context ? Can you view witnesses and strong witnesses of [S2] along this line ?

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